

**Please complete this form to set up or add accounts for NetExchange Client and electronic delivery of confirmations an/or statements.**

**Note:** This service is available for Pershing accounts only.

Please check one:  My eDocument Suite  NetXClient

Please check one:  New Set-up  Add Accounts

1. **Client Name:** \_\_\_\_\_

2. **Unique User ID Log On** (USER ID) 13 characters maximum, no special characters or spaces: \_\_\_\_\_  
(This will be your login ID when you sign on to *Online View*)  
 Second Choice (if first is unavailable): \_\_\_\_\_

3. **Email Address:** \_\_\_\_\_

4. **Date of Birth:** \_\_\_\_\_

5. List the Pershing account number(s), including a descriptive name of that/those accounts you wish to view. If you need to list additional accounts, please do so on a separate sheet of paper and attach to this form.

<i>Example: (Account):</i> <u>157-123456</u>	<i>(Name):</i> <u>John Doe &amp; Jane Doe JTEN</u>
(Primary Account): _____	(Name): _____
(Additional Account): _____	(Name): _____
(Additional Account): _____	(Name): _____
(Additional Account): _____	(Name): _____

**Electronic Notification Agreement**

To stop receiving paper account statements and/or trade confirmations or other documents as they may be made available (Documents), you agree to accept and receive electronic notification that the Documents are available online for your retrieval (Service). "You" means the account owner(s) and/or authorized user(s) of the account. "Authorized user" means a person that you have authorized with full access to your account and has been granted a user ID. By accepting electronic notification of the availability of the Documents, you are agreeing to the electronic delivery of all notices, disclosures, and other information relating to your account that are communicated with or within the Documents.

The Documents will be available to you online via a service provided by Pershing LLC on behalf of your financial organization. You will be notified by electronic mail (e-mail) when these documents are available to be viewed online. The e-mail notification(s) will be sent to the e-mail address that you established when registering with this service. In the event of an e-mail notification failure as defined by Pershing, Pershing will terminate this arrangement, and you will revert to receiving paper documents until such time that you re-enroll through the myedocumentsuite.com service.

By accepting this Agreement, you affirm that you have a valid e-mail address on record with your financial organization, have access to the Internet, and you are at least 18 years of age. You also affirm that you have installed Adobe Acrobat Reader version 4.0 or higher to view your Documents. You agree that electronic delivery of the Documents is deemed accepted, regardless of whether a particular document is accessed or viewed. You may print or save a copy of the Documents at any time. You may request a mailed copy of your Documents by calling your financial organization.

Your consent to accept electronic delivery of the Documents is effective until revoked by Pershing, your financial organization, or you. You may revoke your consent and resume receiving paper Documents at any time by changing your delivery preferences online. You may also contact your financial organization directly for assistance.

You expressly agree and acknowledge that your use of the Service is at your sole risk. Neither Pershing nor its respective directors, officers, employees, agents, contractors, affiliates, information providers, or services warrant that the myedocumentsuite.com service will be uninterrupted or error free. Pershing does not warrant the timeliness, sequence, accuracy, completeness, reliability, or content of any information with respect to accessing electronic information. The service provided herein is on an "as is," "as available" basis and without warranties including, without limitation, those of merchantability, fitness for a particular purpose or non-infringement other than those warranties which are implied by and incapable of exclusion, restriction, or modification under the laws, rules and regulations applicable to this service.

You are responsible for maintaining the confidentiality of your user ID and password, and for restricting access to your computer, and you agree to accept responsibility for all activities that occur under your user ID or password.

You are agreeing to abide by the terms and conditions as they may be amended from time to time; amended terms will be posted on the website. Your continued use of the site will constitute your acceptance of the then-current terms and conditions.



The terms and conditions of this Agreement set forth the entire understanding and agreement between us with respect to the subject matter hereof.

By signing this document, you acknowledge that you have carefully read, understand, and agree to these terms and conditions; represent and warrant that you are authorized to enter into this agreement; and indicate your informed and affirmative consent to the electronic delivery of the Documents, termination of paper copies of the Documents, and transmission of an electronic notice to a designated e-mail address(es) as the only notice sent when the Documents become available.

Account(s) Owner Signature

Date

Account(s) Owner Signature

Date

**Important:** All account owners must sign this form.

**Registered Representative Use Only**

You must have NetExchange Pro<sup>®</sup> in order to offer this service.

Pershing Rep Number: \_\_\_\_\_

Registered Rep Phone: \_\_\_\_\_

Print Registered Representative Name

Registered Representative Signature

Date

Fax back to the Brokerage Operations Online Department at 888.428.9904.